

Rec'd PCT/PTO 04 JUN 2003
09/807855

SHERIDAN ROSS
A Professional Corporation
ATTORNEYS AND COUNSELORS AT LAW

1560 BROADWAY
SUITE 1200
DENVER, COLORADO 80202-5141

TELEPHONE (303) 863-9700
FACSIMILE (303) 863-0223
E-MAIL: slaw@sheridanross.com

PATENTS
TRADEMARKS
COPYRIGHTS

KERMIT P. ROSS
1910-1985

OF COUNSEL
PHILIP H. SHERIDAN
CRAIG C. GROSETH

TECHNICAL SPECIALISTS
DENNIS J. DUFFRAY, Ph.D.
ANGELA K. DALLAS, Ph.D.
CRAIG W. MUELLER

DAVID P. ZINGER
TODD P. BLAKELY
GARY J. CONNELL
SABRINA C. STAVISH
JOSEPH E. KOVARIK
SUSAN FRYOR WILLSON
LEWIS D. HANSEN
ROBERT R. BRIDGELY
DOUGLAS W. SWARTZ
BRUCE A. KUGLER
BRENT P. JOHNSON
DANA HARTIS CARDWELL
BENJAMIN B. LIEB
BRADLEY M. KNEPPER
MIRIAM DECKMAN TRUDELL
SCOTT R. HALECKI
KENNETH C. WINTERTON
ROBERT D. TRAYER, Ph.D.
CHRISTOPHER I. HUSSIN
MARK L. YASKANIN

FACSIMILE COVER SHEET

TIME: _____

DATE: June 4, 2003

Please deliver the following page(s) to:

Name: Examiner Alvarado
U.S. Patent Office

Facsimile Number: 703-746-6702

Our File Number: 2483AO-4

Your Ref. No.: 09/807,855 filed October 29, 2002

Total Number of Pages, including this cover page: 17

Sender's Name: Douglas W. Swartz

MESSAGE:

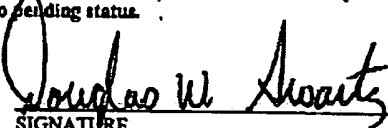
In response to your request, please find attached the response to the notification of missing requirements under 35 U.S.C. § 371. We have further attached a copy of the Express Mail receipt and the stamped postcard receipt for your review. Please contact me if you have any questions.

PLEASE CONFIRM RECEIPT

If you do not receive all the pages, please call Amy Duarte at (303) 863-9700.

THIS FACSIMILE MESSAGE IS CONFIDENTIAL AND MAY CONTAIN ATTORNEY PRIVILEGED INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR COMPANY NAMED ABOVE. If the reader is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please immediately notify us by telephone, so that we may arrange for the return of the original message to us. Thank you.

FORM PTO-1390 (REV 12-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NO.	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				2483AO-4	
				U.S. APPLICATION NO. (if known, see 35 CFR 1.5)	
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE		PRIORITY DATE CLAIMED	
PCT/CA99/00996		26 October 1999		26 October 1998	
TITLE OF INVENTION "PROCESS FOR SEQUENTIALLY APPLYING SAGD TO ADJACENT SECTIONS OF A PETROLEUM RESERVOIR"					
APPLICANT(S) FOR DO/EO/US Alberta Oil Sands Technology and Research Authority					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(8)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 					
Items 11 To 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Copy of Notification of Missing Requirements; 2-Month Extension of Time and Fee 					



U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/807,855		INTERNATIONAL APPLICATION NO. PCT/CA99/00996		ATTORNEY'S DOCKET NUMBER 2483AO-4	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33 (1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT -				\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30				\$	130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	- 20 =		x \$18.00	\$	
Independent Claims	- 3 =		x \$84.00	\$	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$280.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	130.00
				Amount to be refunded	\$
				charged	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>130.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>SHERIDAN ROSS P.C. 1560 Broadway, Suite 1200 Denver, Colorado 80202-5141 Telephone: (303) 863-9700 Facsimile: (303) 863-0223</p>					
 SIGNATURE				Douglas W. Swartz Registration No. 37,739	
CUSTOMER NO. 22442					

"EXPRESS MAIL" MAILING LABEL NUMBER: EV190613483US
DATE OF DEPOSIT: October 29, 2002

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST
OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE
INDICATED ABOVE AND IS ADDRESSED TO BOX PCT, ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

TYPED OR PRINTED NAME: JANICE MESSER

SIGNATURE: *Janice Messer*

 EV 190613483 US		 EXPRESS MAIL UNITED STATES POSTAL SERVICE		Customer Copy Label 15-F June 2002	
ORIGIN (POSTAL USE ONLY)			DELIVERY (POSTAL USE ONLY)		
PO ZIP Code 80302	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	<input type="checkbox"/> Flat Rate Envelope	Delivery Attempt Time	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Origin 10 24 02	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 2 PM	Postage \$ 25.65	Delivery Attempt Time	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Time In 7:30	<input type="checkbox"/> 1st Day <input type="checkbox"/> 2nd Day	Return Receipt Fee	Delivery Date Time	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
<input type="checkbox"/> AM <input type="checkbox"/> PM	Int'l Alpha Country Code	ODD Fee	<input type="checkbox"/> AM <input type="checkbox"/> PM	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Weight 5 lbs	Acceptance Clerk Initials 177	Insurance Fee	<input type="checkbox"/> AM <input type="checkbox"/> PM	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
No Delivery <input type="checkbox"/> Regular <input type="checkbox"/> Priority	Total Postage & Fees \$ 164.75	Customer Signature	<input type="checkbox"/> AM <input type="checkbox"/> PM	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
FROM: (PLEASE PRINT) SHERIDAN ROSS PC 1560 BROADWAY STE 1200 DENVER CO 80202-3145			TO: (PLEASE PRINT) ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON DC 20231-0004		
2483AO-4			BOX PCT		
PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com					

Initial: JMM

Date: 10/29/02

PTO Stamp Indicates receipt of: ☒ Patent Matter ☐ Trademark Matter

PCT Application Docket No.: 2483AO-4

Applicant: Alberta Oil Sands Technology and Research Authority

Title: "PROCESS FOR SEQUENTIALLY APPLYING SAGD TO ADJACENT SECTIONS OF A PETROLEUM RESERVOIR"

PCT Serial No.: PCT/CA99/00998

Filed/Issued Date: 26 October 1999

☐ Certificate of Mailing

NOV 08 2002

No. of Pages in Specification

☒ Express Mail No.: EV190613483US

No. of Pages in Claims

☒ Check for \$130.00

No. of Sheets of Drawings

LIST ALL DOCUMENTS BEING SENT TO PATENT OFFICE:

2ND SUBMISSION OF DOCUMENTS FOR US DESIGNATION, DECLARATION,
COPY OF NOTIFICATION

DT05 Rec'd PCT/PTO 29 OCT 2002